

様々な格子ベースのゼロ知識証明を QROM安全にするシンプルな手法について

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Our Result

A Simple Semi-Generic Method to Construct QROM Secure Lattice-based ZK PoKs*

*In this talk, we do not differentiate between “proofs” and “arguments”

- New tool: **Extractable Linear Homomorphic Commitment (ExtLinHC)**
- Semi-Generic Transform:



Agenda

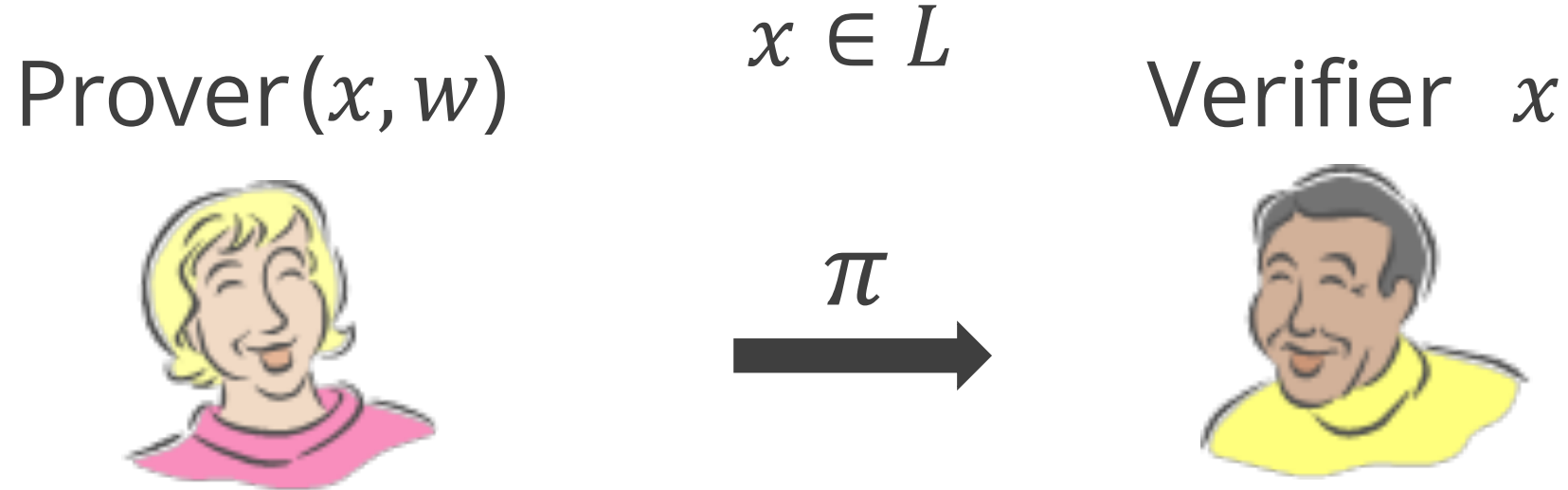
1. Background and Motivation
2. More on Lattice-based QRROM NIZKs
3. Our Result: ExtLinHC
4. Constructing ExtLinHC



1. Background and Motivation

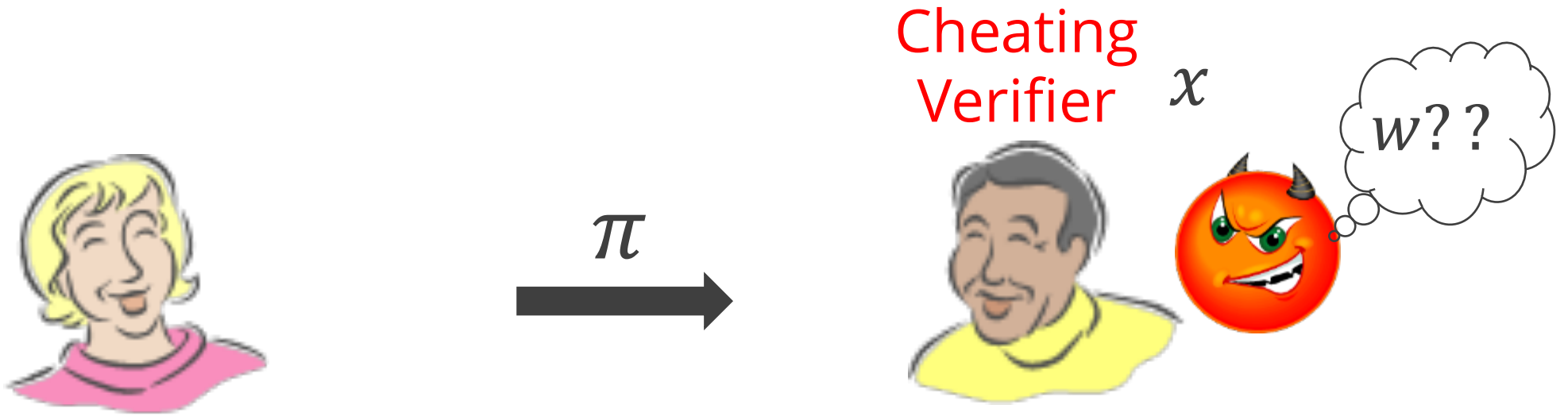


Preparation: Non-Interactive Zero-Knowledge



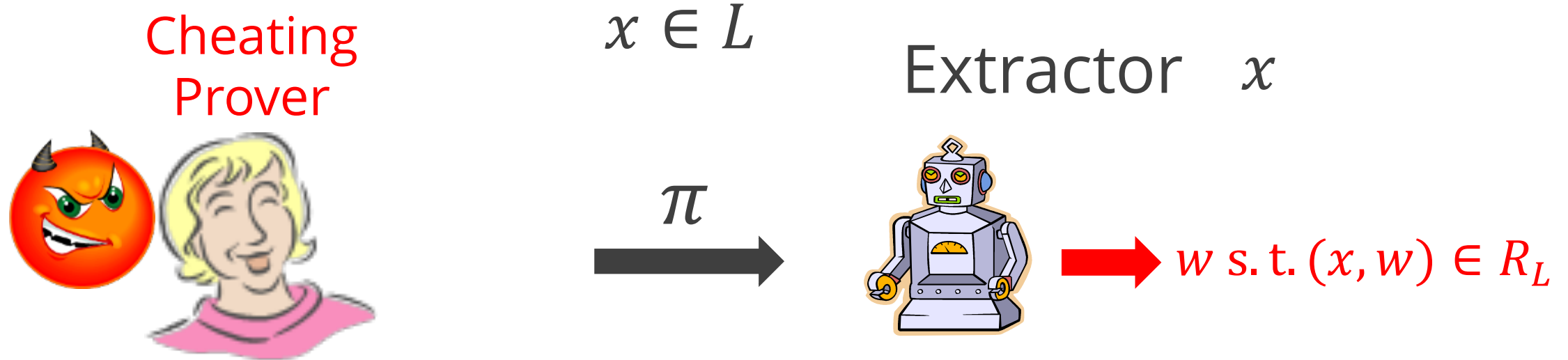
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Zero-Knowledge



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Proof of Knowledge

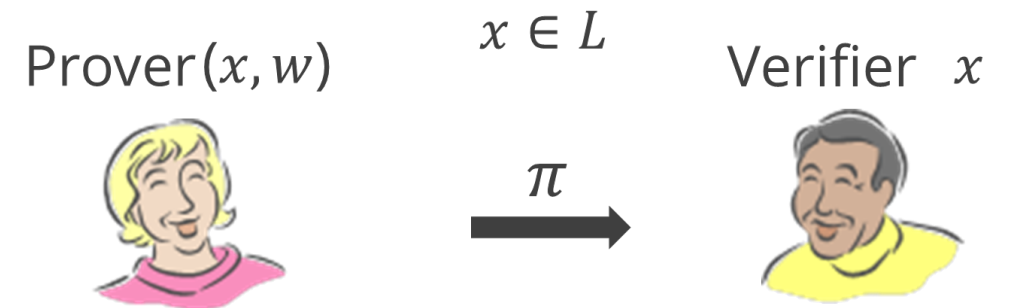


- ✓ **Completeness:** If $(x, w) \in R_L$, then Verifier is convinced.
- ✓ **Zero-Knowledge:** If $x \in L$, Verifier only learns that $x \in L$.
- ✓ **Proof of Knowledge:** There exists an efficient extractor Ext s.t., if a cheating Prover outputs a valid π , then Ext outputs w s.t. $(x, w) \in R_L$. ***Implies soundness**
(*w/ extra capabilities)

Models

- Standard Model

Only exist for trivial languages [GO94]



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- Standard Model

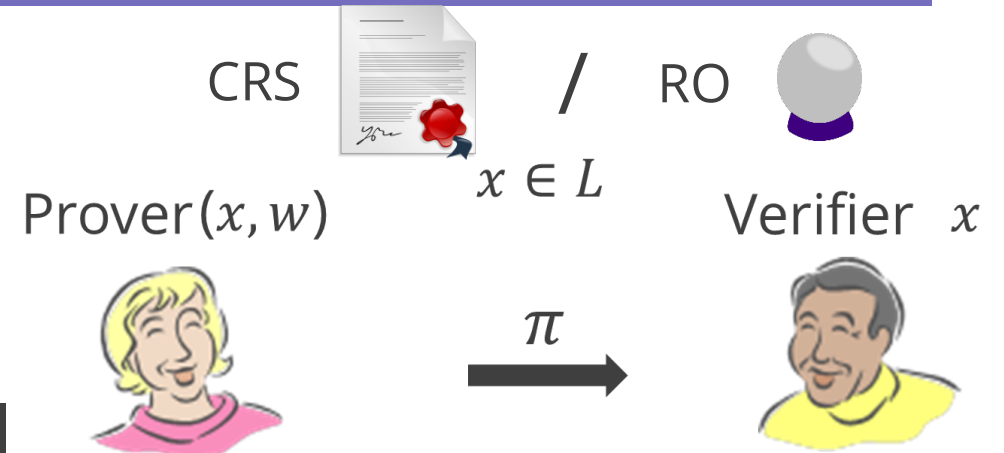
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- Common Reference String Model

Users are given a CRS generated by a trusted authority.

- Random Oracle Model

Users are given access to a RO.



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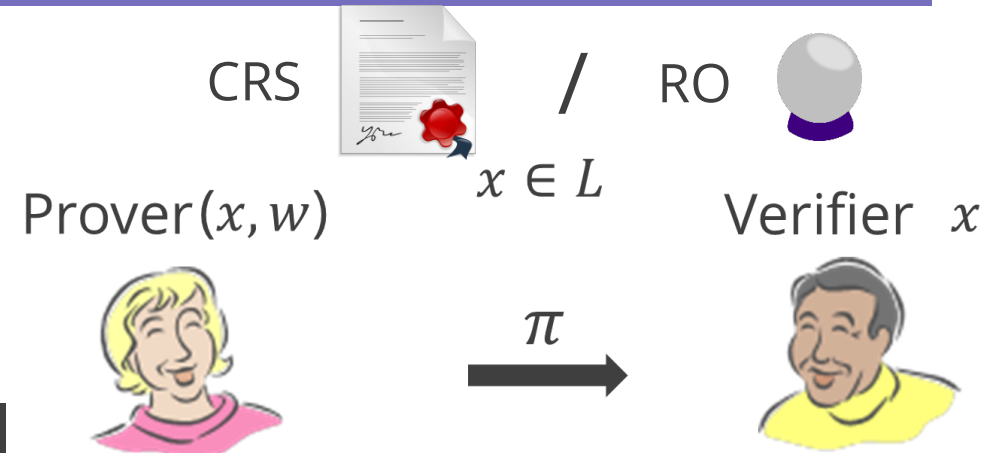
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➡ Provably secure but could be impractical.
Also, trusted setup is required.

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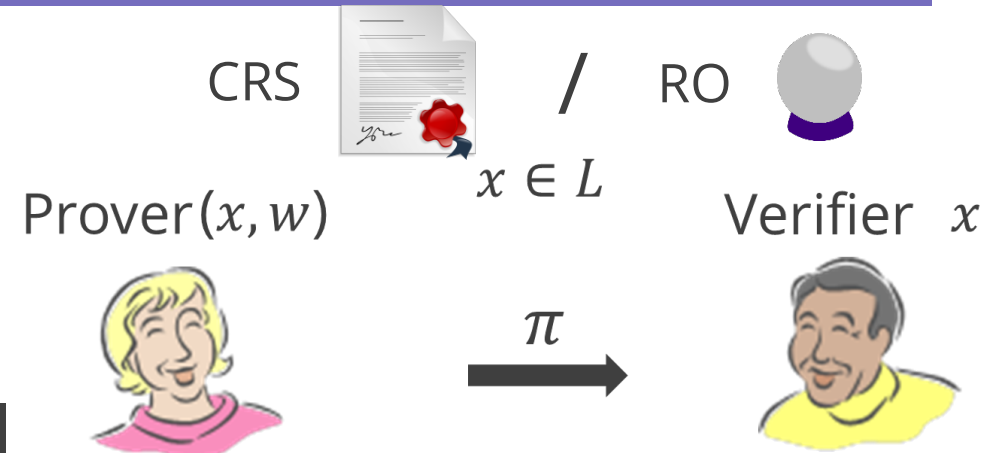
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This Talk

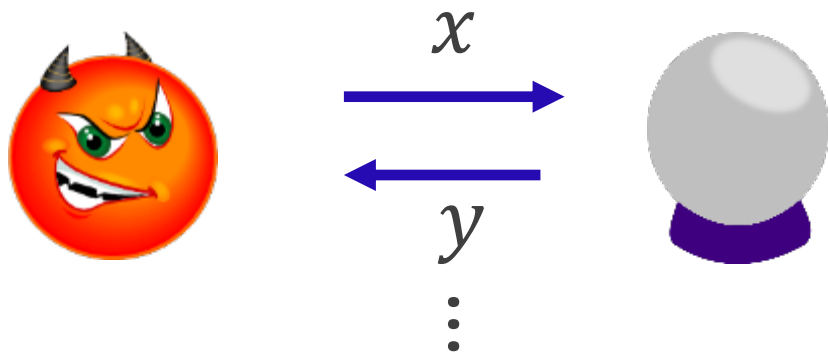
Classical vs Quantum ROM

A **quantum adversary** can evaluate hash function over qbits in real-world.

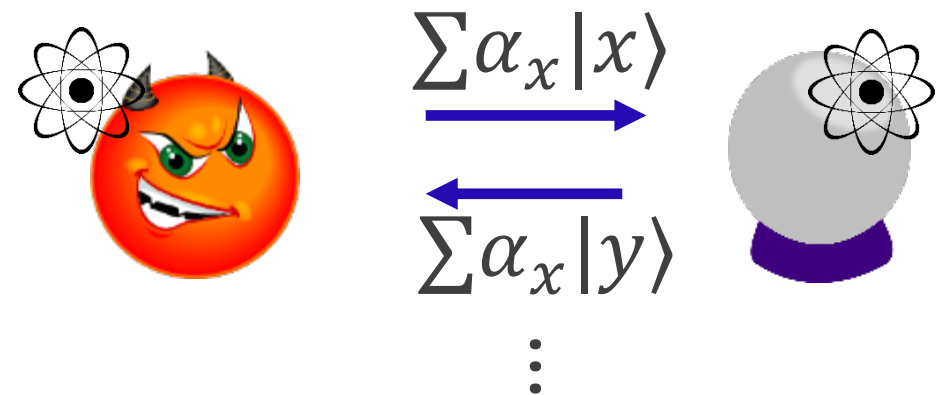
$$\sum_x \alpha_x |x\rangle \rightarrow \sum_x \alpha_x |x, H(x)\rangle$$

➡ QROM should model this capability!

Classical ROM



Quantum ROM

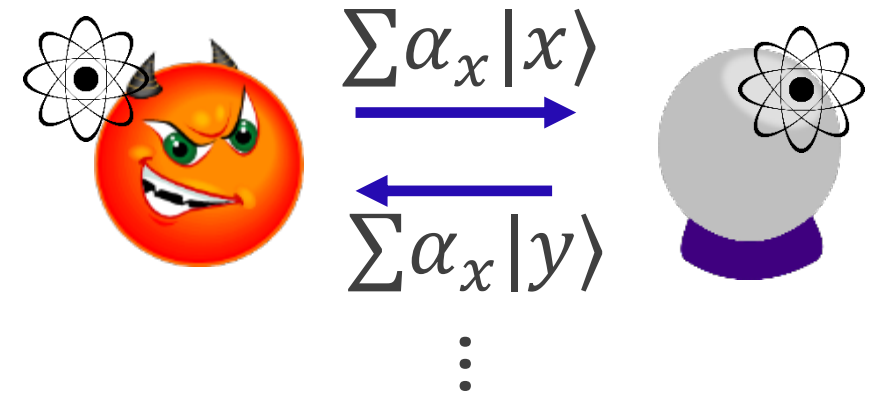


Some Difficulty in QROM

Typical CROM proof that “seems” hard to import to QROM.

- ① Observe the adversary’s input query
- ② Know the corresponding output

Why? May disturb adversary’s quantum state.



Some Difficulty in QROM

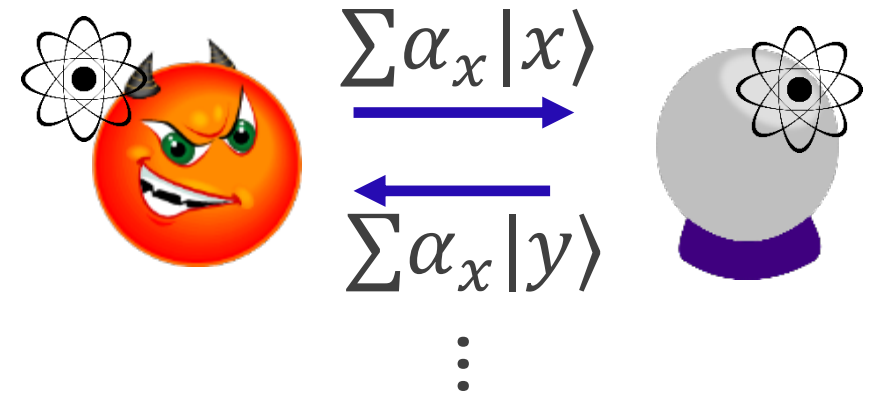
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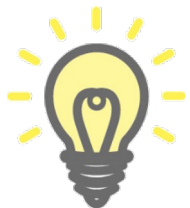
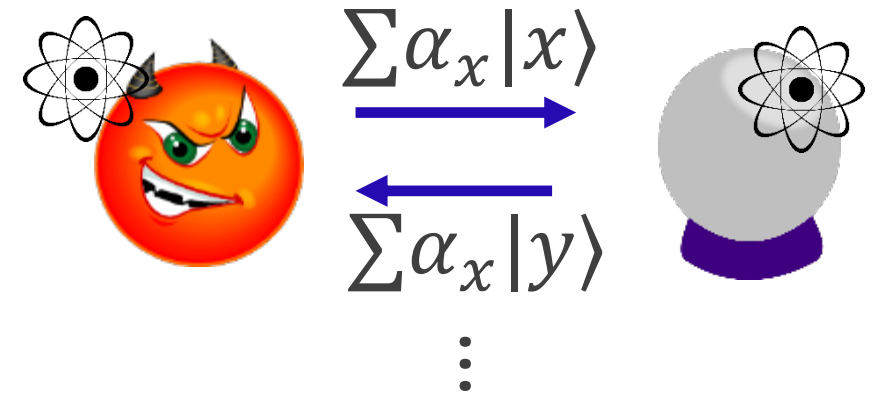
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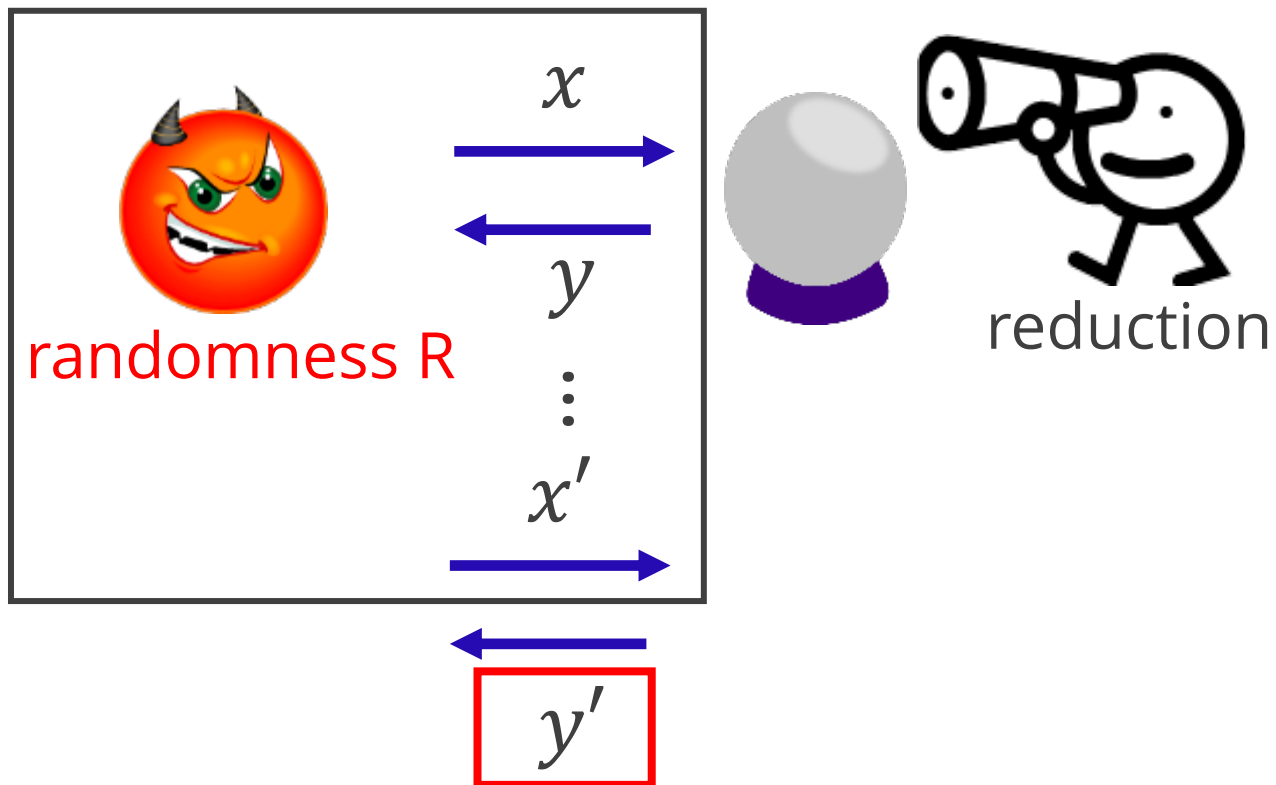


We now know many ways to overcome these seeming hardness but it is not as “free” as in the classical setting.

Some Other Difficulties in Quantum Setting

Handling quantum adversaries is difficult regardless of ROM being classical or quantum.

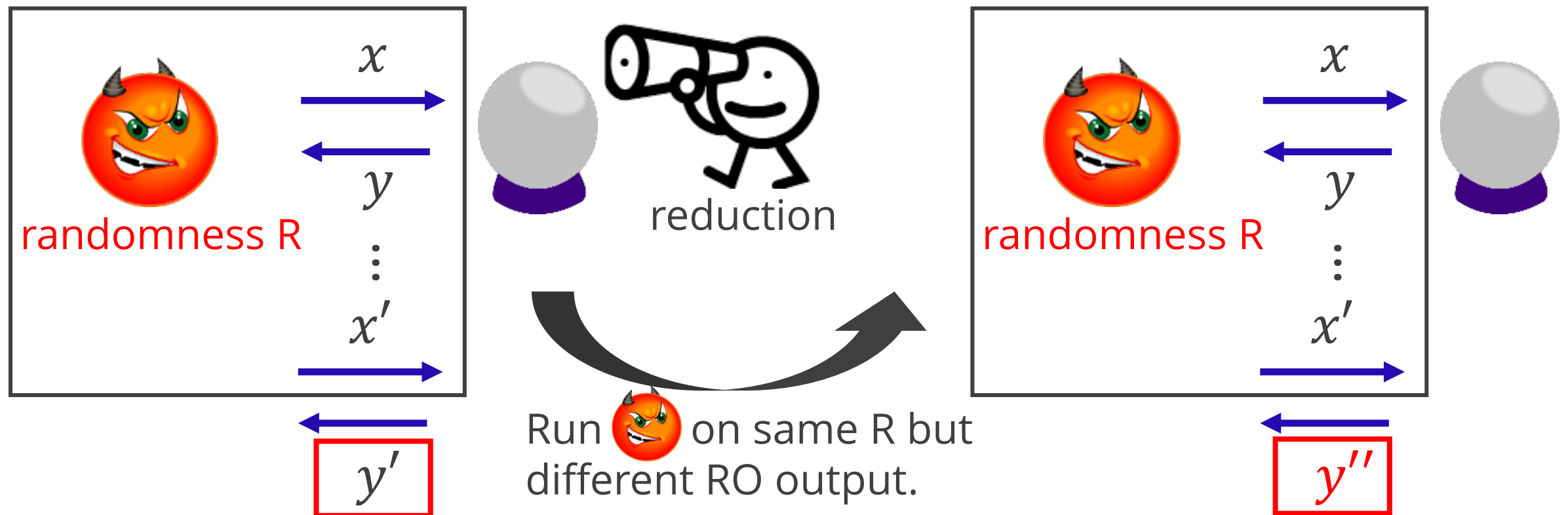
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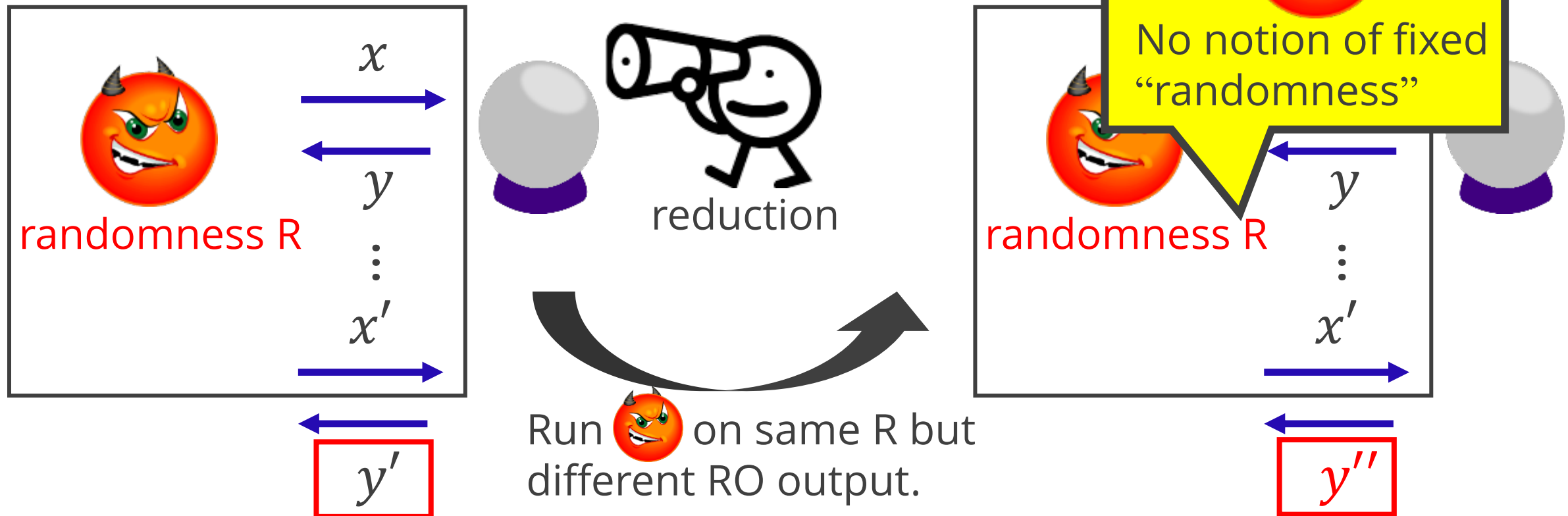
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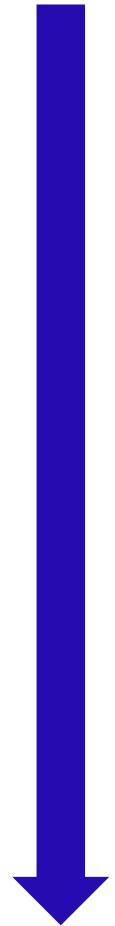
State-of-the-Affair for Lattice-based NIZKs

- ❑ CRS-NIZK (w/ quantum adversary)

Correlation Intractable hash approach: [CCHLRRW19] and [PS19]

- ❑ CROM-NIZK (w/ classical adversary)

inefficient



efficient

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Stern protocol approach [Ste94, KTX08]

- Combinatorial method and easy to understand.

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Fiat-Shamir w/ Abort approach [Lyu09,Lyu12]

- [Lyu09,Lyu12] is an analog of Schnorr's protocol.
- Many tricks exploiting lattice structure for better efficiency.
- Efficiency increased drastically in the past few years: [BLS19,YAZXYW19,ESLL19,ALS20...].

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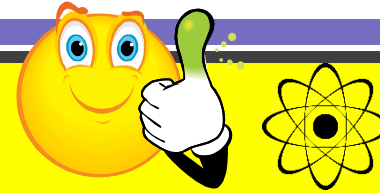
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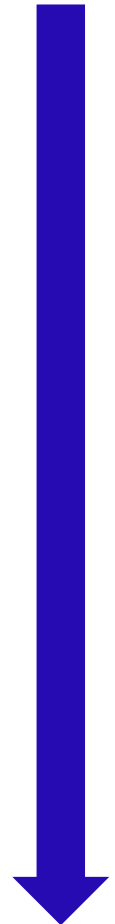
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Due to its commit-and-open nature, QROM security is known.

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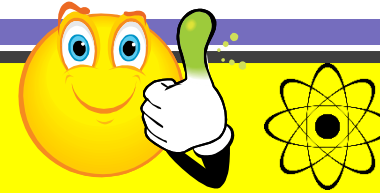


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- Many tricks exploiting lattice structure for better efficiency.
- Efficiency increased drastically in the past few years: [BLS19,YAZXYW19,ESLL19,ALS20...].



Other than [Lyu09,Lyu12] not much about QROM security is known.

efficient

2. More on Lattice-based QRROM NIZKs



Recap: Sigma-Protocol (or Public-Coin Interactive Proof (PCIP))

Prover (x, w)



a



$c \in \mathcal{C}$



z



Verifier x



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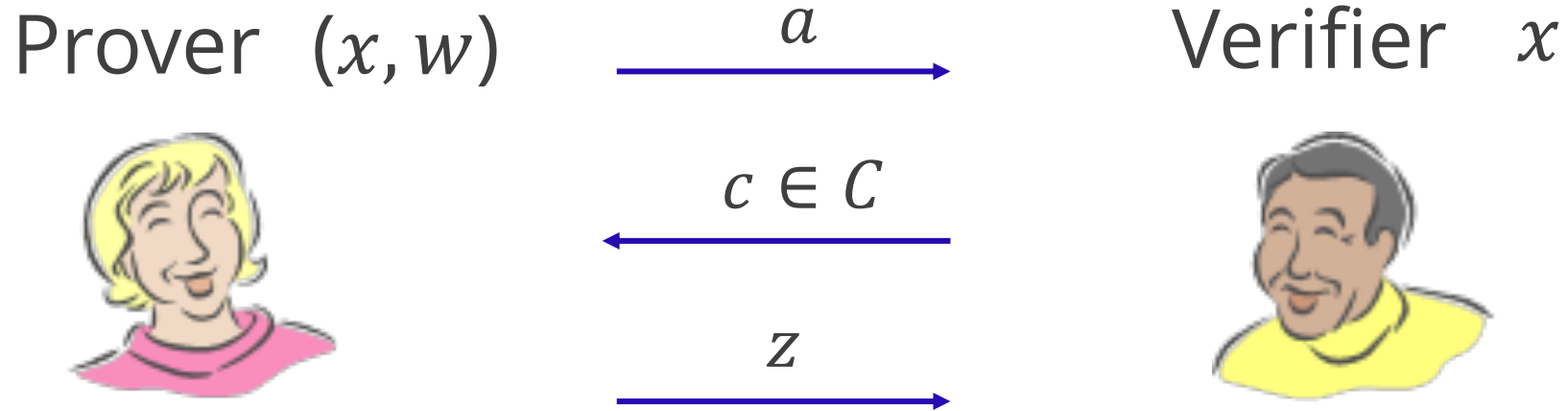
Standard Security Notions

✓ Honest-Verifier ZK:

\exists PPT Sim such that $\{(a, c, z) \leftarrow \text{Sim}(x, c)\} \approx \{(a, c, z) \leftarrow \langle P(x, w), V_c(x) \rangle\}$.

***Simulator knows no witness!**

Recap: Sigma-Protocol (or Public-Coin Interactive Proof (PCIP))



Standard Security Notions

✓ Honest-Verifier ZK:

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**Simulator knows no witness!*

✓ Special Soundness:

\exists PT Ext such that $\text{Ext}(x, a, (c, z), (c', z')) \rightarrow w$ s.t. $(x, w) \in R_L$

**2 valid transcripts with same a !*


Sigma Protocol to NIZK Transform

Transform	Classical			Quantum		
	Type of Sigma prot.	Proof overhead	PoK	Type of Sigma prot.	Proof overhead	PoK
Fiat-Shamir '88	any	One hash	rewind			
Fischlin '05						
Unruh '15						

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Fischlin '05	-Small $ C $ -Quasi-unique response	None (but parallel rep.)	Straight-line (= tight proof)			
Unruh '15						


Sigma Protocol to NIZK Transform

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	Type of Sigma prot.	Proof overhead	PoK	
Fiat-Shamir '88	any	One hash	rewind	 <div>In general Fiat-Shamir is the best transform in the classical setting.</div>
Fischlin '05	-Small $ C $ -Quasi-unique response	None (but parallel rep.)	Straight-line (= tight proof)	
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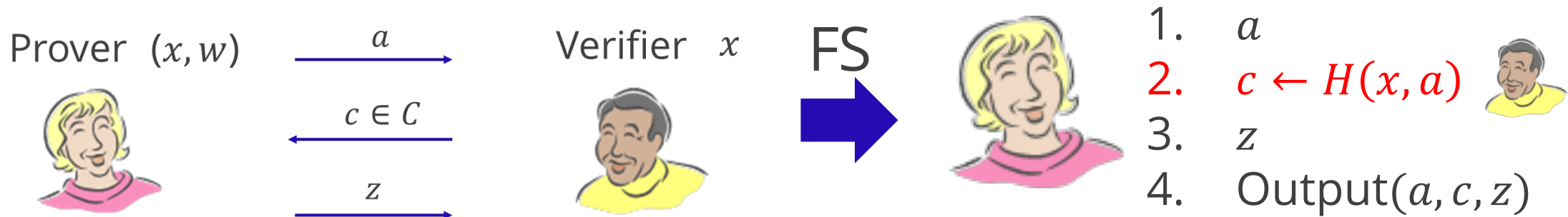
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Unruh '15	(右同)			Small $ C $	 $\times C $ with parallel rep.	Straight-line (= tight proof)

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Fischlin '05	-Small $ C $ -Quasi-unique response	None (but parallel rep.)	Straight-line (= tight proof)	<div>  </div> <div> q: #RO query t: #valid transcript to extract witness Use to be $O(q^{2t-1})$ till very recent [CMSZ21] </div>		
Unruh '15	(右同)			Small $ C $	 $x C $ with parallel rep.	Straight-line (= tight proof)

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Fischlin '05	response	parallel	tight	Proof overhead is large...
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In a Bit More Detail: Fiat-Shamir



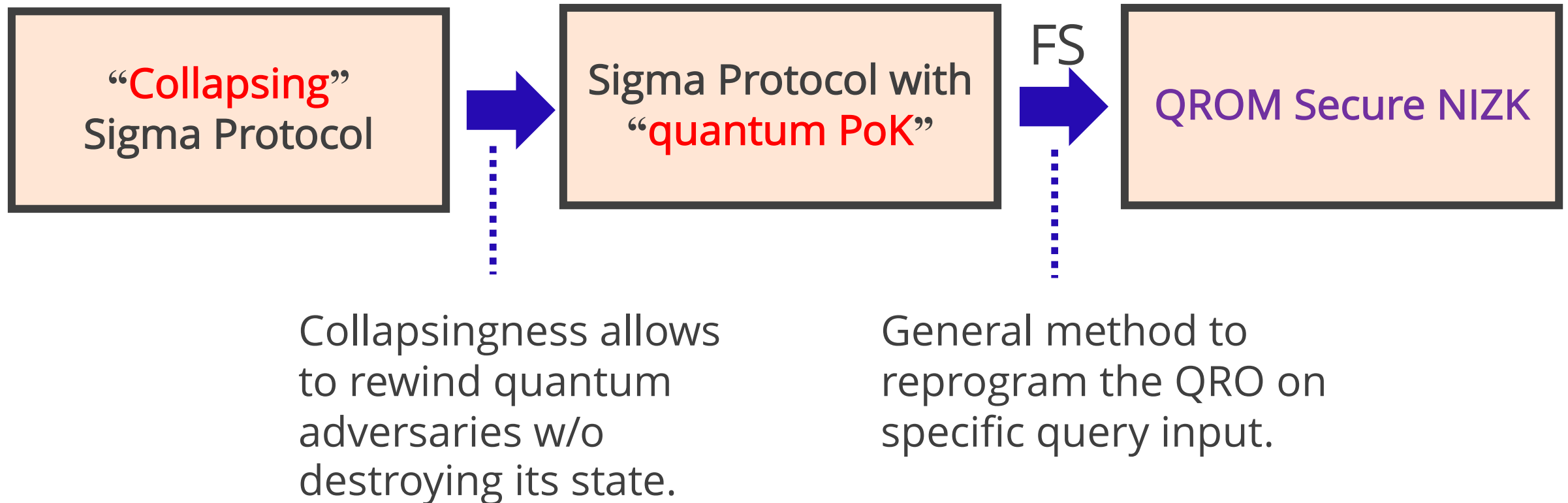
In Classical Setting...

- **Rewind** the cheating prover so that it answers to two different challenges.
- **Adaptively reprogram** the RO at $H(x, a)$ to two different challenges.

Both procedures are difficult in QROM...?? 

In a Bit More Detail: Fiat-Shamir

QROM security by [DFMS19,LZ19].

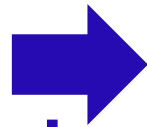
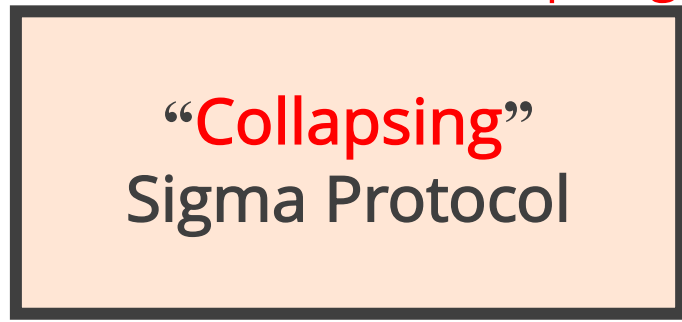


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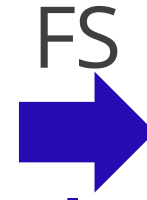
QROM security by [DFMS19,LZ19].



*Not clear if existing schemes are collapsing.



*Not an easy property to prove.



Collapsingness allows to rewind quantum adversaries w/o destroying its state.

General method to reprogram the QRO on specific query input.



*Seems to incur at least $O(q^{2n})$ reduction loss for n different programmed points.

In a Bit More Detail: Unruh

Getting around **rewinding** and **adaptive reprogramming** [U15].

Rough Idea: Let Prover commit to all (challenge, response) pair.




1. a
2. For $i \in \mathcal{C}$
 - i. Generate response z_i
 - ii. $\text{com}_i = \text{Com}(z_i; \text{rand}_i)$
3. $c \leftarrow H(x, a, \{\text{com}_i\}_{i \in \mathcal{C}})$
4. Output $(a, c, z_c, \{\text{com}_i\}_{i \in \mathcal{C}})$

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Simplified Proof for PoK:

1. The cheating prover must have committed to valid responses $z_i, z_{i'}$ for $i \neq i'$ to have non-negl. advantage.
2. If Com is extractable, then the reduction inverts $\text{com}_i, \text{com}_{i'}$.

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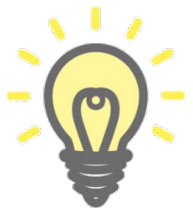
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- ❑ Challenge space must be poly-large \Rightarrow Parallel repetition.
- ❑ Must include extra $\{\text{com}_i\}_{i \in \mathcal{C}}$ in proof.
- ❑ Com can be instantiated by RO, Online Extractable \Rightarrow Tight Proof

Recent CROM Lattice-based PCIP

Non-exhaustive list



- ❑ [Lyu09,Lyu12]: Most Basic (Relaxed proof for SIS/LWE relation)
[DFMS19,LZ19] showed that it is “collapsing” (w/ a slight increase in the parameters).

QROM secure via Fiat-Shamir



- ❑ [BDLOP18]: Opening to commitments
- ❑ [ESLL19]: Range proofs, one-out-of-many proofs
- ❑ [YAZXYW19]: Exact sound proofs for quadratic relations

QROM secure via Unruh but chall. set is restricted to be small



- ❑ [BLS19, ENS20]: Exact sound proofs for SIS/LWE relation (5-round)
- ❑ [ALS20]: Product proofs for commitments (5-round)
- ❑ [LNS20]: Integer relations ($5 \geq$ -round)

*5-round protocols may be secure via modified Unruh [CHRSS18].

Main Question of This Talk



Can we get the best of the Fiat-Shamir and Unruh transform and more??

Transform	Quantum		
	Type of Sigma prot.	Proof overhead	PoK
Fiat-Shamir '88	collapsing	One hash	rewind (lose at least $O(q)^{2n}$)
Fischlin '05			
Unruh '15	5-round with 1st chall. set small and 2nd chall. set $\{0,1\}$.	$x C $ with parallel rep.	Straight-line (= tight proof)

- ✓ FS: No overhead and works for exp. large chall. set size.
- ✓ Unruh: Tight (straight-line extractable) and simple proof.
- ✓ And More: Applies to PCIP that FS or Unruh is not known to apply.

3. Our Result: ExtLinHC



Our Result: A New Transform

A partial answer:

A semi-generic approach that sits somewhere between Fiat-Shamir and Unruh.



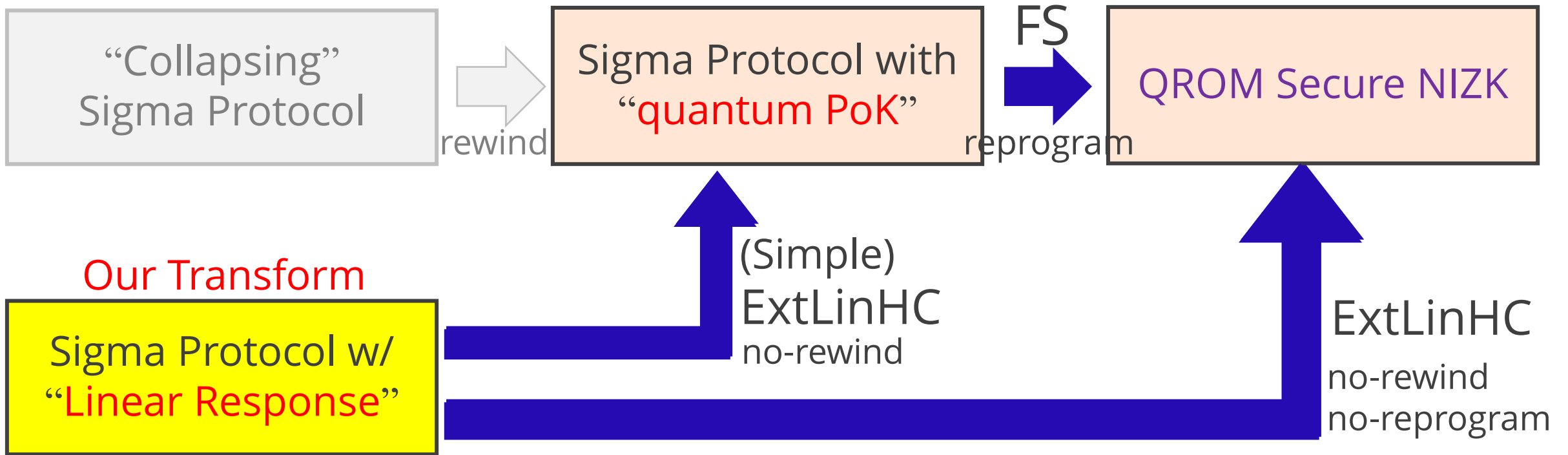
Properties

- Works for many lattice-based PCIPs (or in general, **any PCIP with a linear response**)
- Handles **exponential challenge set**
- **$|\text{FS overhead}| < |\text{Our overhead}| < |\text{Unruh overhead}|$** , for exp. chall. set.
- Reduction loss is smaller than FS (it is **straight-line extractable** like Unruh)
- **Construction and proof is very simple** (almost classical)

New Technical Tool



Extractable Linear Homomorphic Commitment (ExtLinHC)



*Very natural and satisfied by many Sigma protocols [M15]

A Bottom-Up Approach to Our Transform

Base Example: Sigma protocol for SIS/LWE relation [Lyu09,12]

Statement: $(A, u) \in R_q^{n \times m} \times R_q^n$

Witness: “short” $e \in R_q^m$

$$^*R_q = \mathbb{Z}[X]/(X^d + 1)$$

$$A \cdot e = u$$

Prover

Verifier



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Verifier

1. $r \leftarrow D^m$

2. $w = Ar \in R_q^n$

\xrightarrow{w}

$\xleftarrow{\quad}$

$\xrightarrow{\quad}$

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Prover

1. $r \leftarrow D^m$

2. $w = Ar \in R_q^n$

w

c

Verifier

$$c \leftarrow \{0,1\}^d \subset R_q$$

3. $z = c \cdot e + r \in R_q^m$

4. $\text{RejSamp}(z)$

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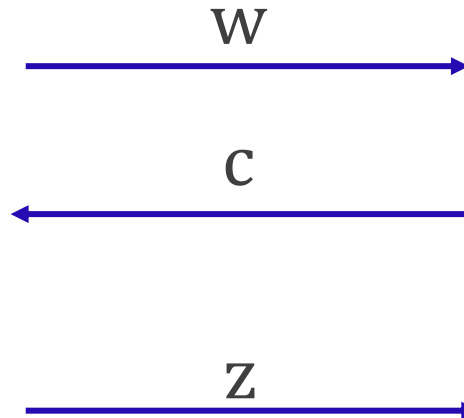
4. $\text{RejSamp}(z)$

Verifier

$$c \leftarrow \{0,1\}^d \subset R_q$$

Check

- z is short
- $Az = c \cdot u + w$



Special Sound + HVZK

□ Special (Relaxed) Soundness

□ HVZK

P: $((A, u), e)$

V: (A, u)

1. $r \leftarrow D^m$

2. $w = Ar$

\xrightarrow{w}

\xleftarrow{c}

$c \leftarrow \{0,1\}^d$

3. $z = c \cdot e + r$

4. $\text{RejSamp}(z)$

\xrightarrow{z}

- z short?

- $Az \stackrel{?}{=} c \cdot u + w$

Special Sound + HVZK

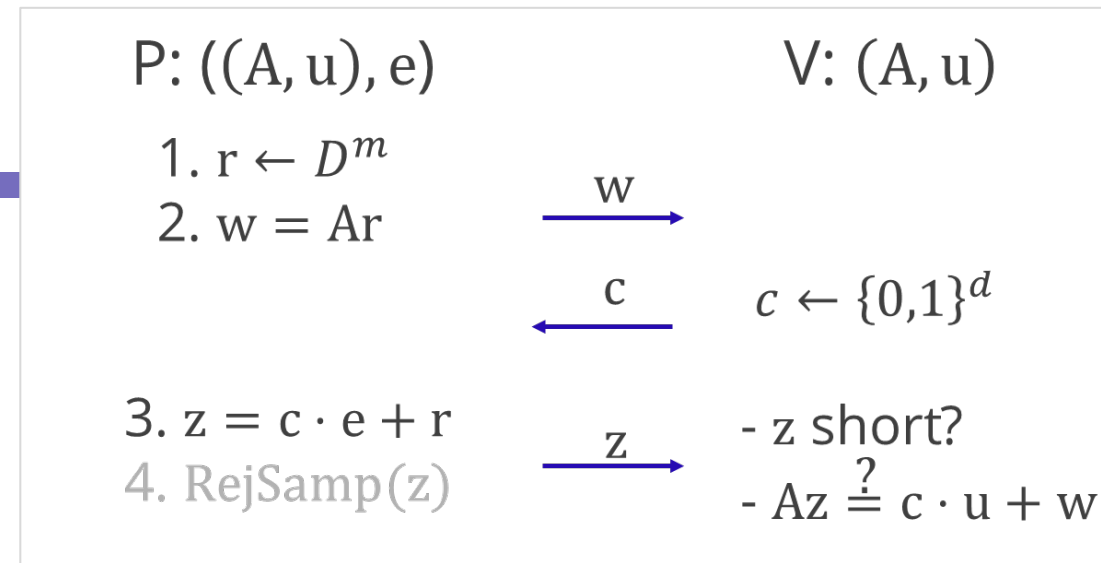
□ Special (Relaxed) Soundness

Given (w, c, z) and (w, c', z')

$$\begin{aligned} Az &= c \cdot u + w \\ Az' &= c' \cdot u + w \end{aligned} \Rightarrow \underline{A(z - z')} = \underline{(c - c')} \cdot u$$

*The extracted witness lies in a “gap/relaxed” relation.
But this suffices in many applications.

□ HVZK



Special Sound + HVZK

□ Special (Relaxed) Soundness

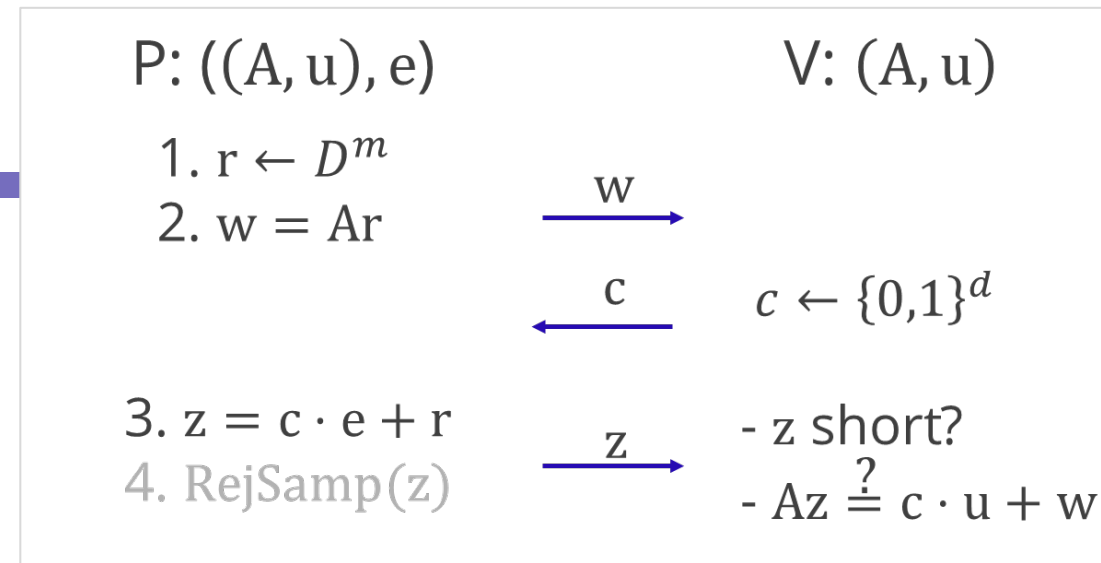
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But this suffices in many applications.

□ HVZK

- Due to RejSamp, z is uniform over some witness-independent dist. G'
- ZKSim just samples z and sets $w = Az - c \cdot u$.



Special Sound + HVZK

□ Special (Relaxed) Soundness

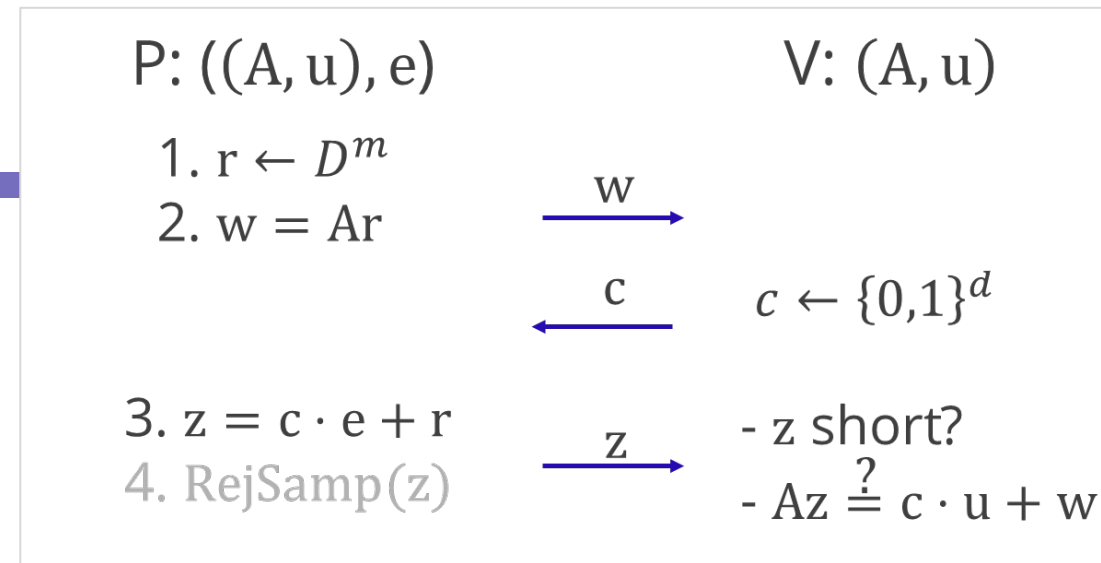
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But this suffices in many applications.

□ HVZK

- Due to RejSamp
- ZKSim just sa



Main Question

How do we obtain *two* valid transcripts
w/o rewinding the quantum adversary??

1st Step: Add Linear Homomorphic Com.

Prover: $((A, u), e)$ CRS: $pk \leftarrow \{0,1\}^L$ Verifier: (A, u)

1. $r \leftarrow D^m$

2. $w = Ar$

3. $\text{com}_e = \text{Com}_{pk}(e)[\delta_e]$

4. $\text{com}_r = \text{Com}_{pk}(r)[\delta_r]$

$w, \text{com}_e, \text{com}_r$



*Commit to witness e and randomness r

1st Step: Add Linear Homomorphic Com.

Prover: $((A, u), e)$

CRS: $pk \leftarrow \{0,1\}^L$

Verifier: (A, u)

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$w, \text{com}_e, \text{com}_r$



c



$c \leftarrow \{0,1\}^d$

5. $z = c \cdot e + r$

6. $\delta_z = c \cdot \delta_e + \delta_r$

7. $\text{RejSamp}(z)$

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7. $RejSamp(z)$

z, δ_z

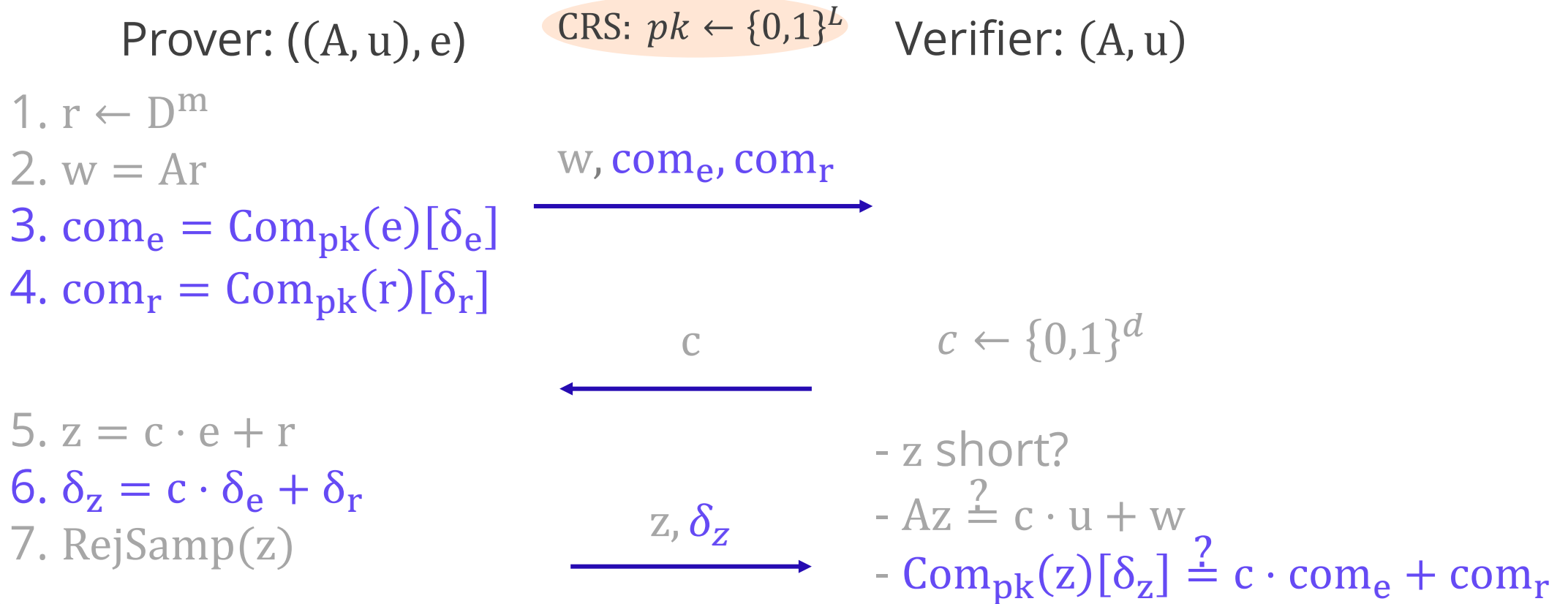
- z short?

- $Az \stackrel{?}{=} c \cdot u + w$

- $Com_{pk}(z)[\delta_z] \stackrel{?}{=} c \cdot com_e + com_r$

*Create com_z and check if δ_z is a valid opening.

1st Step: Add Linear Homomorphic Com.



Is it still a standard Sigma protocol?

- ✓ Special soundness \Rightarrow Yes, just ignore LinHC
- ✓ HVZK \Rightarrow Yes, if LinCH is hiding.

2nd Step: Add Extractability

$$pk \leftarrow \{0,1\}^L$$

$$\approx_c$$

$$(pk^*, \tau) \leftarrow \text{SimCRS}$$

For any honestly generated $com_x = Com_{pk^*}(x)[\delta_x]$, we have $\text{Extract}_{com}(\tau, com_x) \rightarrow x$.

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What we want to show

Only given $((w, com_e, com_r), c, (z, \delta_z))$, extract witness e in the “gap” relation.

Prover: $((A, u), e)$

CRS: $pk \leftarrow \{0,1\}^L$

Verifier: (A, u)

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w, com_e, com_r

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$c \leftarrow \{0,1\}^d$

- z short?

- $Az \stackrel{?}{=} c \cdot u + w$

- $\text{Com}_{pk}(z)[\delta_z]$

$= c \cdot \text{com}_e + \text{com}_r$

What we want to show

Only given $((w, \text{com}_e, \text{com}_r), c, (z, \delta_z))$, extract witness e in the “gap” relation.



Incorrect Naïve Argument

Just run $\text{Extract}_{\text{Com}}(\tau, \text{com}_e) \rightarrow e!$



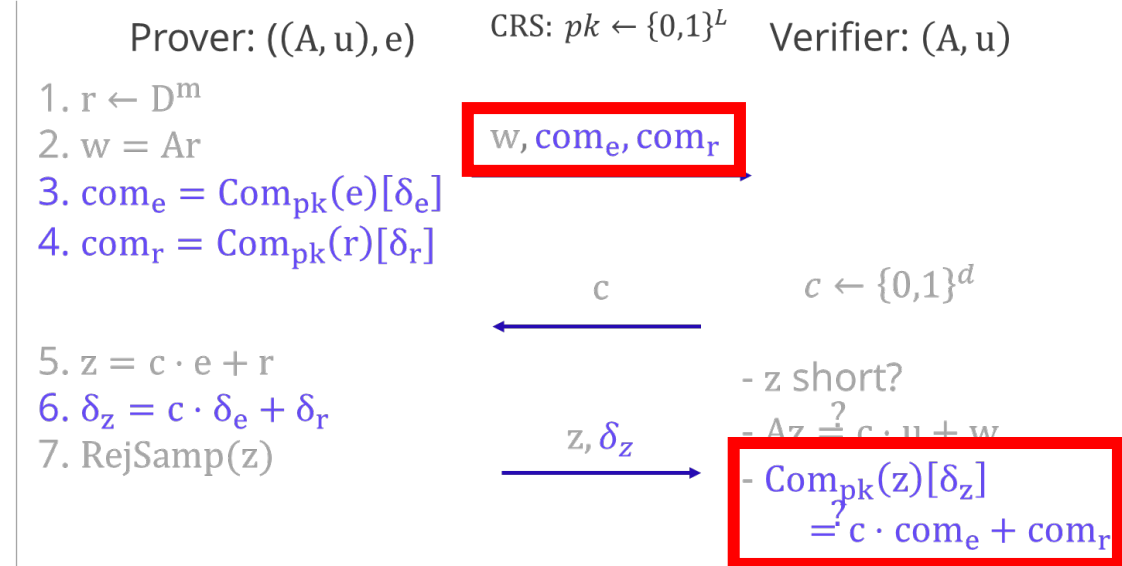
Why Wrong?

- No guarantee that com_e is valid ☹
- Only $\text{Com}_{pk}(z)[\delta_z]$ is known to be valid.

3rd Step: How to Argue Extraction Correctly

Simple Observation

$(\text{com}_e, \text{com}_r)$ is prepared before challenge c .



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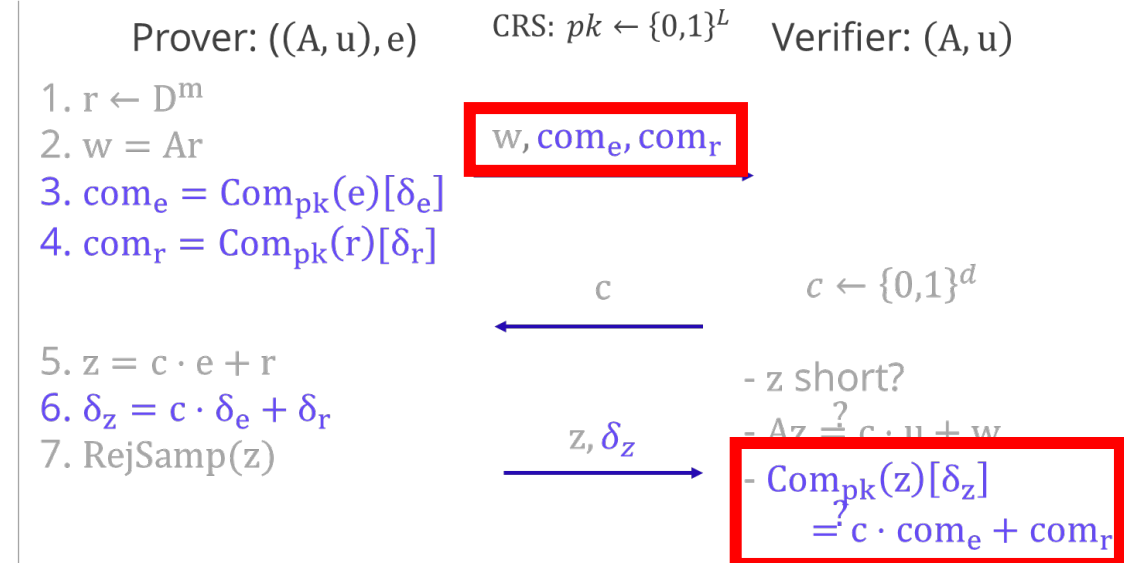
$(\text{com}_e, \text{com}_r)$ is prepared before challenge c .

- Assume $|2^d| = \text{poly}(\lambda)$.
- Assume another $(c', z', \delta_{z'})$ s.t. V accepts.

$\text{Extract}_{\text{Sigma}}(\tau, \text{trans})$:

For $i \in \{0, 1\}^d$

- 1. Set $\text{com}_{z_i} := i \cdot \text{com}_e + \text{com}_r$
- 2. Try $\text{Extract}_{\text{com}}(\tau, \text{com}_{z_i}) \rightarrow z_i / \perp$



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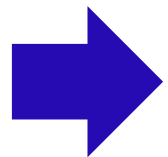
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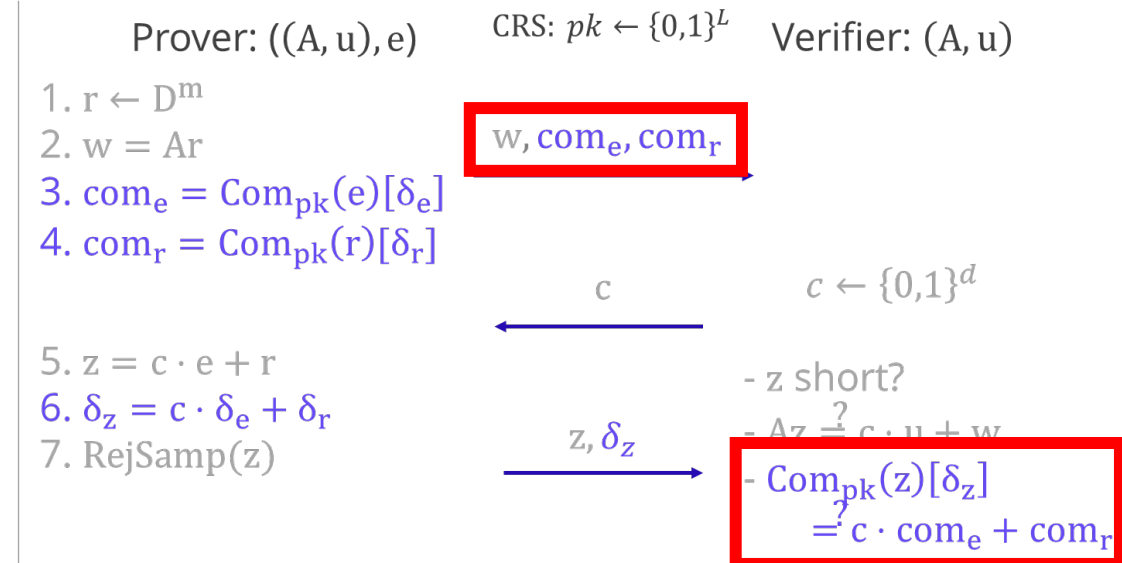
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By assumption, if $i = c'$, then $\text{Extract}_{\text{com}}$ succeeds since $\text{com}_{z_{c'}} = \text{Com}_{\text{pk}^*}(z')[\delta_{z'}]$ is guaranteed to be a valid commitment.



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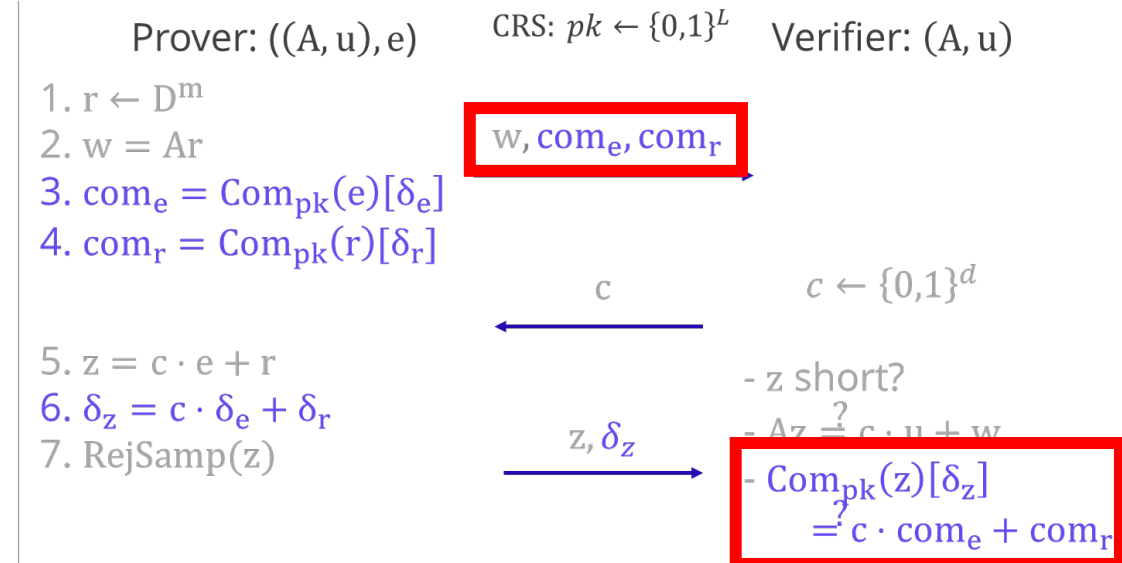
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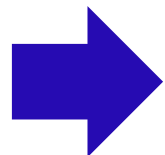
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After extracting z' , simply use (w, c, c', z, z') to extract witness e 😊



By assumption, if $i = c'$, then $\text{Extract}_{\text{com}}$ succeeds since $\text{com}_{z_{c'}} = \text{Com}_{pk^*}(z')[\delta_{z'}]$ is guaranteed to be a valid commitment.

4th Step: Making Chall. Set Exponentially Large

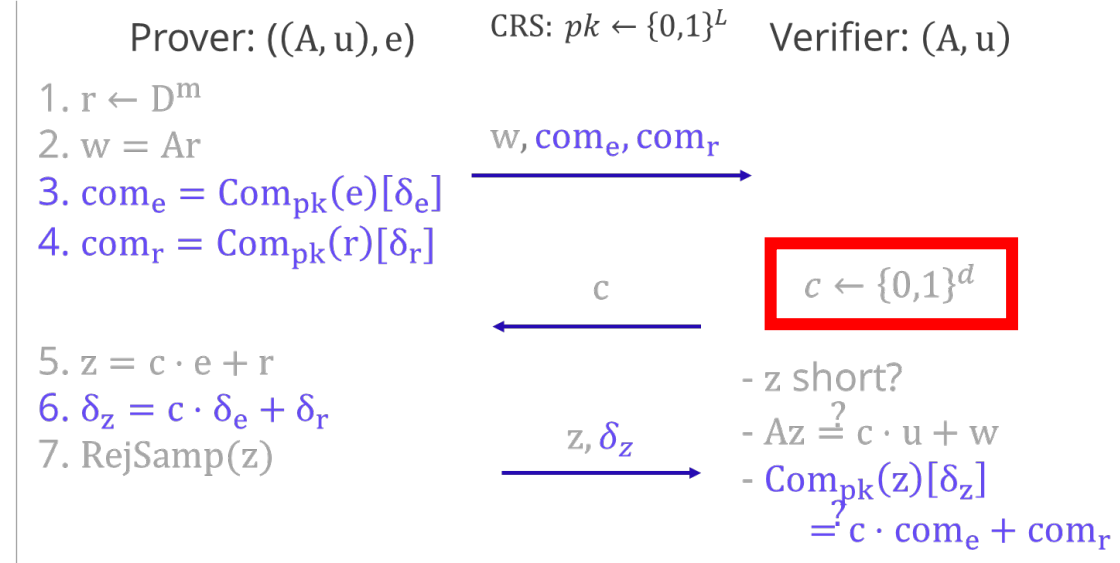
$\text{Extract}_{\text{sigma}}(\tau, \text{trans})$:

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Only terminates if 2^d is polynomial...



4th Step: Making Chall. Set Exponentially Large

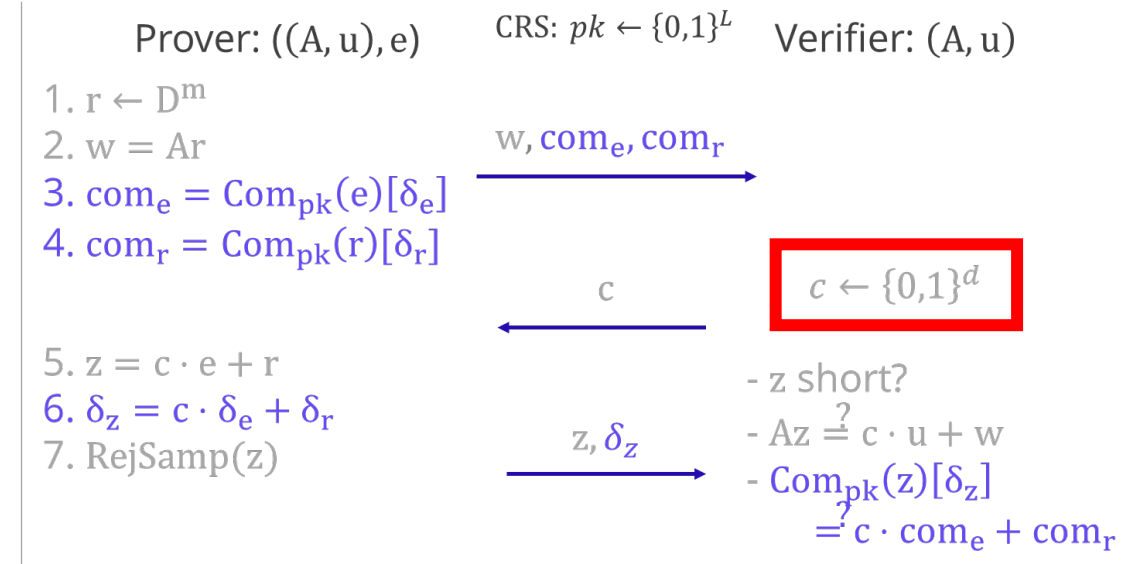
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Only terminates if 2^d is polynomial...



New – $\text{Extract}_{\text{Sigma}}(\tau, \text{trans})$:

While $t < N$:

1. $i \leftarrow \{0,1\}^d$
2. Set $\text{com}_{z_i} := i \cdot \text{com}_e + \text{com}_r$
3. Try $\text{Extract}_{\text{com}}(\tau, \text{com}_{z_i}) \rightarrow z_i / \perp$
4. $t \leftarrow t + 1$



Run for at most N times until $\text{Extract}_{\text{com}}$ succeeds.



Why should this work?
How do we set N ?

4th Step: Making Chall. Set Exponentially Large

Why it works

Assume adversary A has non-negl adv. ϵ in completing the Sigma protocol.

New-Extract_{Sigma}(τ , trans):

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Then, there exists at least $2^d \cdot \epsilon$ challenges for which A could have correctly respond w/ prob. 1/2.

*Standard *statistical* argument.

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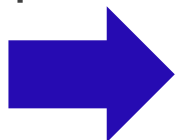
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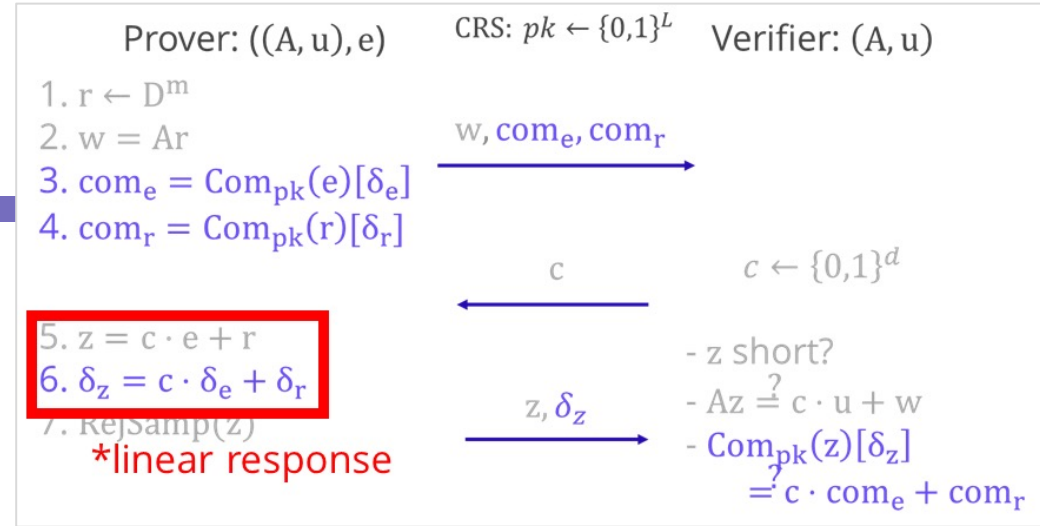


Analysis is the same even if A is quantum ☺

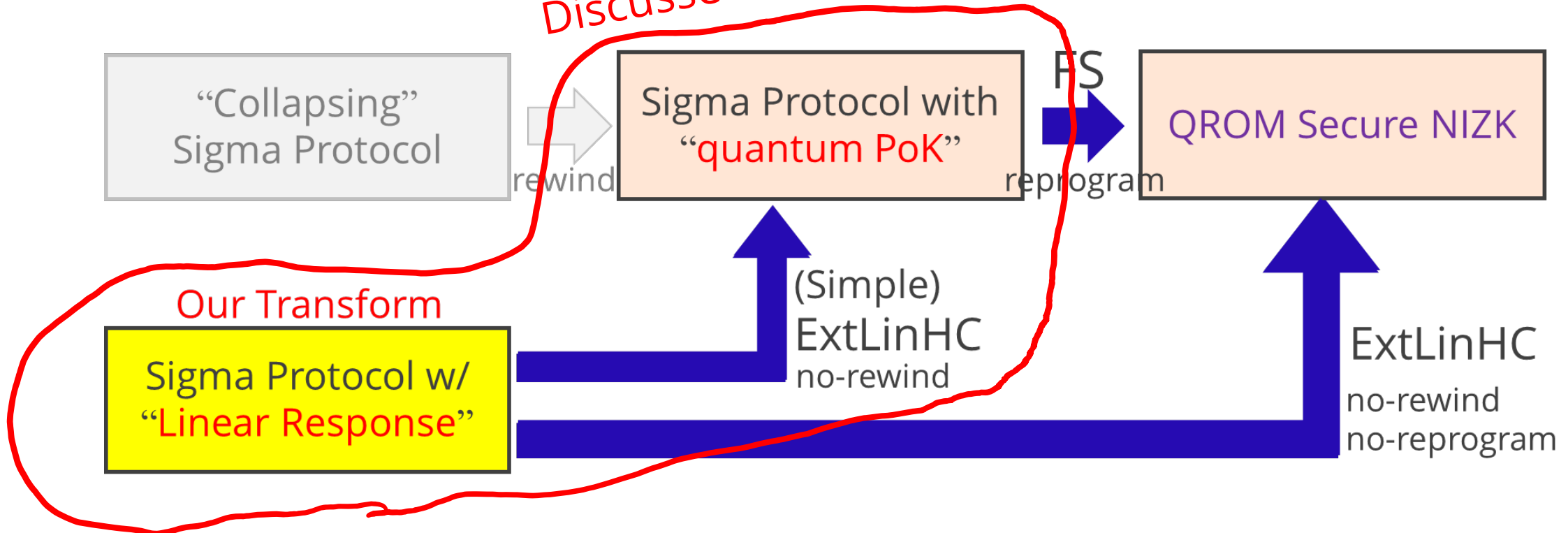
Summary So Far



PoK of Sigma protocol can be shown w/o rewinding the adversary ☺



Discussed so far

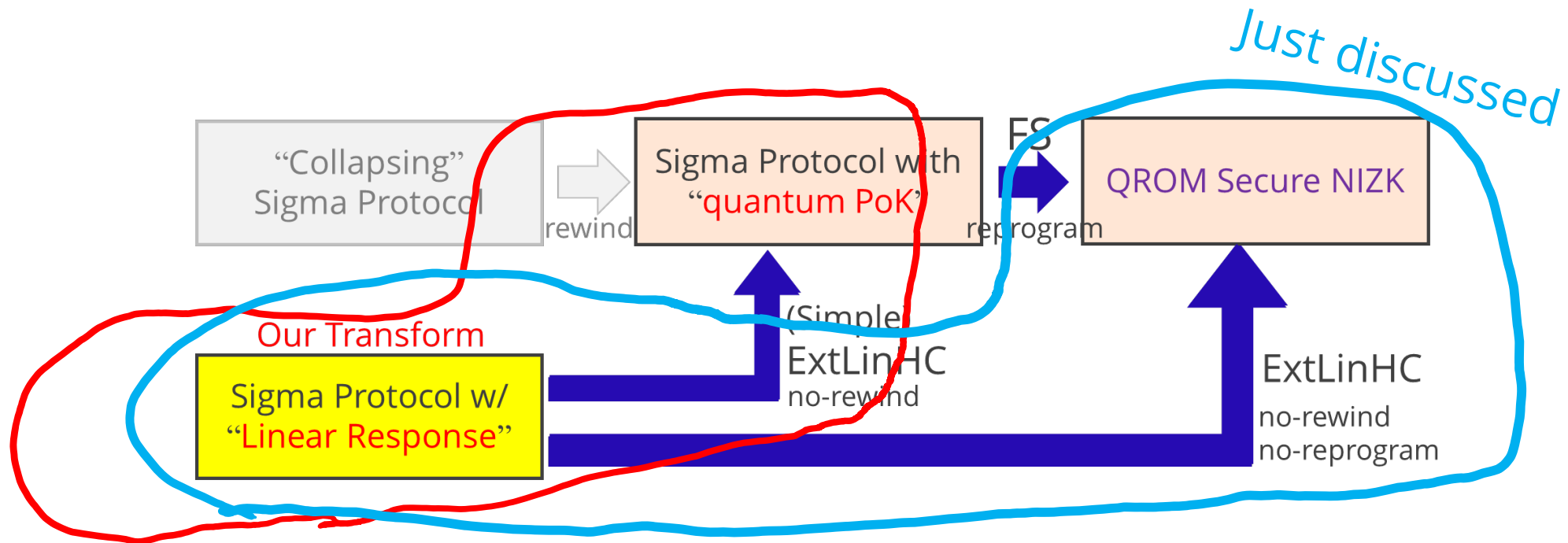


Extending to QROM-Secure NIZK

We start with a Sigma protocol w/ quantum “straight-line” PoK.



We can make it non-interactive via Fiat-Shamir with a simpler proof (i.e., no-reprogramming) akin to [U15,KLS18] ☺



4. Constructing ExtLinHC



Lattice-based ExtLinHC

Com. Key: $\mathbf{pk} = (A, B) \leftarrow R_q^{m \times n} \times R_q^{m \times n}$

$p < q$: some large enough integer

$$\text{com}_e := (p \cdot (As_{e,1} + s_{e,2}), p \cdot (Bs_{e,1} + s_{e,3}) + \mathbf{e})$$

*witness

$$\text{com}_r := (p \cdot (As_{r,1} + s_{r,2}), p \cdot (Bs_{r,1} + s_{z,3}) + \mathbf{r})$$

*randomness

where $\delta_e = (s_{e,i})_{i \in [3]}$, $\delta_r = (s_{r,i})_{i \in [3]}$.

Prover: $((A, u), e)$	CRS: pk
1. $r \leftarrow D^m$	
2. $w = Ar$	w, com_e
3. $\text{com}_e = \text{Com}_{pk}(e)[\delta_e]$	
4. $\text{com}_r = \text{Com}_{pk}(r)[\delta_r]$	
	c
5. $z = c \cdot e + r$	
6. $\delta_z = c \cdot \delta_e + \delta_r$	
7. $\text{RejSamp}(z)$	z, δ_z

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where $\delta_e = (s_{e,i})_{i \in [3]}$, $\delta_r = (s_{r,i})_{i \in [3]}$.

 Linear homomorphism

$$\text{com}_z := (p \cdot (As_{z,1} + s_{z,2}), p \cdot (Bs_{z,1} + s_{z,3}) + \mathbf{c} \cdot \mathbf{e} + \mathbf{r})$$

where $\delta_z = (s_{z,i} = c \cdot s_{e,i} + s_{r,i})_{i \in [3]}$.

Prover: ((A, u), e)	CRS: pk
1. $r \leftarrow D^m$	
2. $w = Ar$	w, com_e
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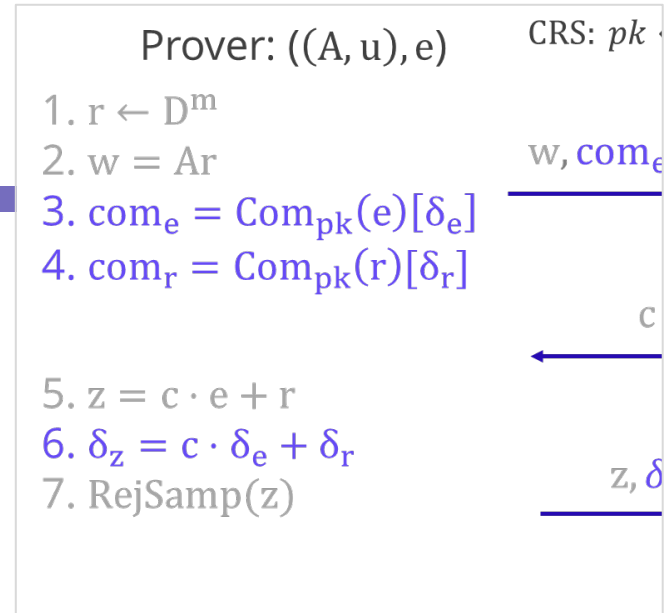
Extraction Mode: Dual Regev PKE

Sim Com. Key: $\mathbf{pk} = (A, B) = (A, D_1 A + D_2)$
 $\tau = \text{"small"} D_1, D_2$

$$\begin{aligned} \text{com}_x &:= (t_1, t_2) \\ &:= (p \cdot (As_{x,1} + s_{x,2}), p \cdot (Bs_{x,1} + s_{x,3}) + x) \end{aligned}$$

$\text{Extract}_{\text{com}}(\tau, \text{com}_x)$:

$$\begin{aligned} \text{Output } (t_2 - D_1 t_1) \bmod q \bmod p &= (p \cdot \text{"noise"} + x) \bmod q \bmod p \\ &= (p \cdot \text{"noise"} + x) \bmod p \\ &= x \end{aligned}$$



Com. keys are indistinguishable due to LWE.

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Sim Com. Key: $\mathbf{pk} = (A, B) = (A, D_1 A + D_2)$
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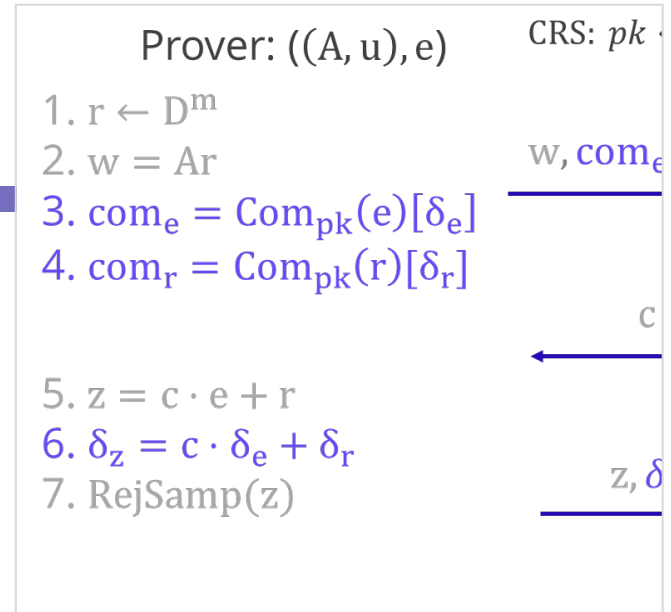
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$\text{Extract}_{\text{com}}(\tau, \text{com}_x):$

Output $(t_2 - D_1 t_1)$



For concrete efficiency, we can optimize the scheme by using NTRU-like PKE.

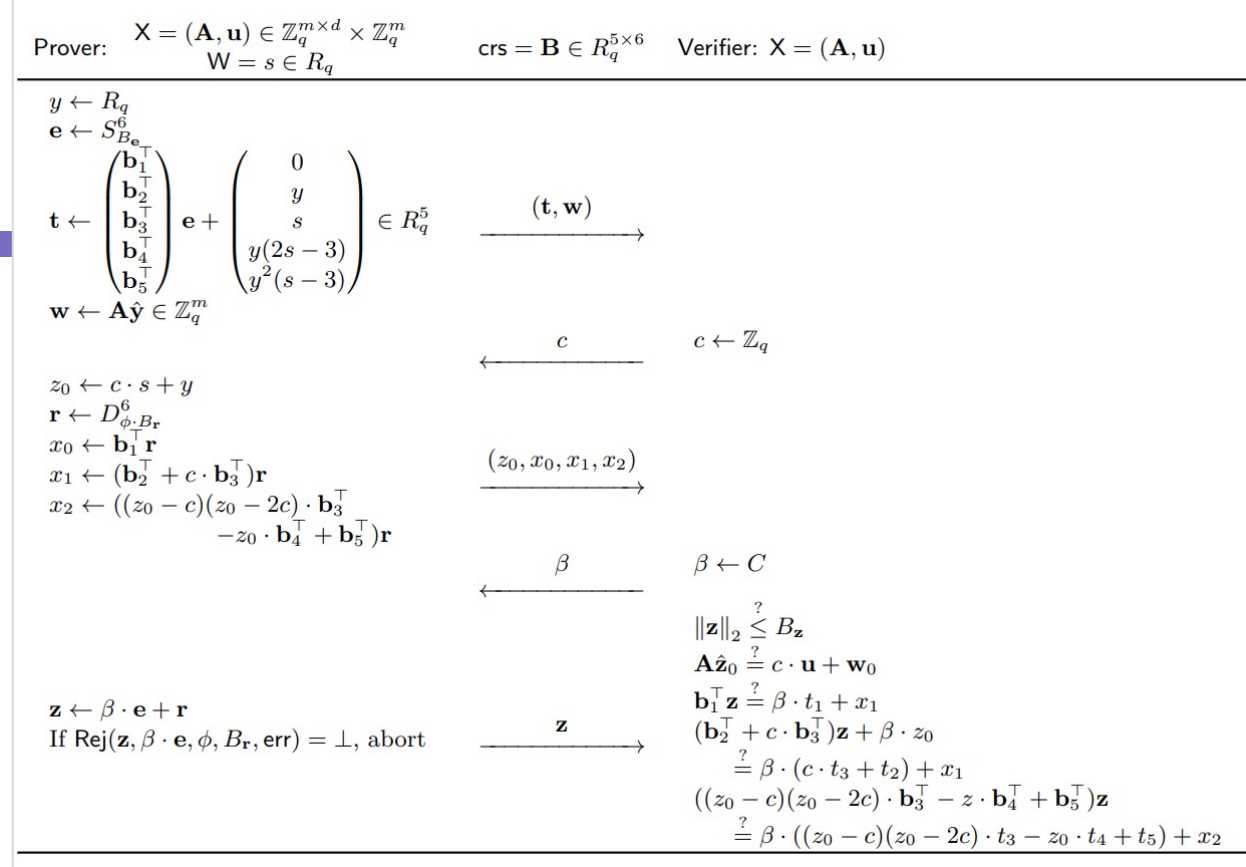


Com. keys are indistinguishable due to LWE.

Concrete Application

[BLS19] Exact Sound 5-Round PCIP

- Not obvious if Fiat-Shamir applies.
- Modified Unruh [CHRSS18] may apply.



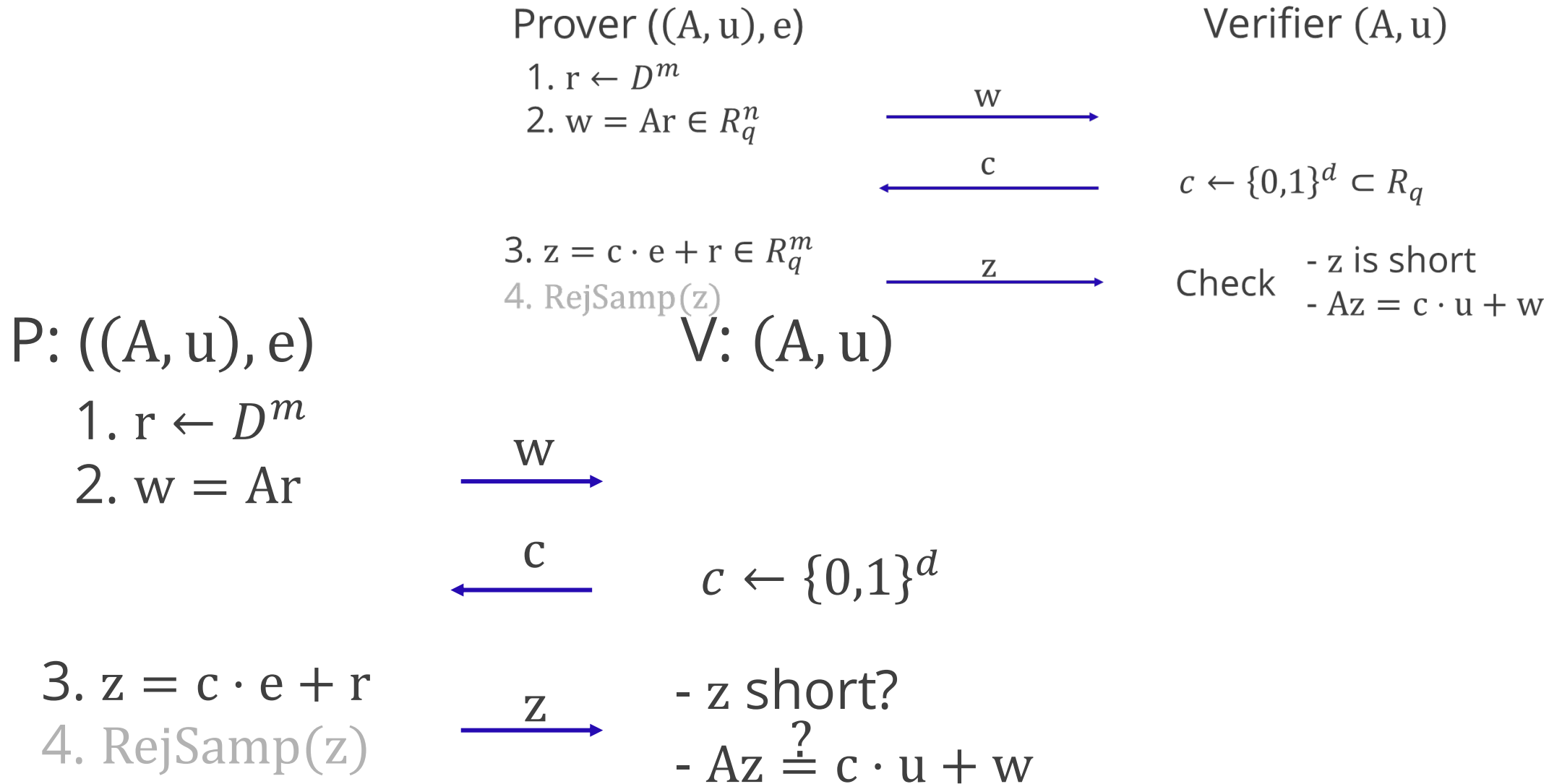
- ❑ CROM NIZK = **812 KB**
- ❑ QROM NIZK via Unruh = **44.9 MB** (CROM x134.7)
- ❑ QROM NIZK via ExtLinHC = **2071 KB** (CROM x2.6)

Summary & Open Problems

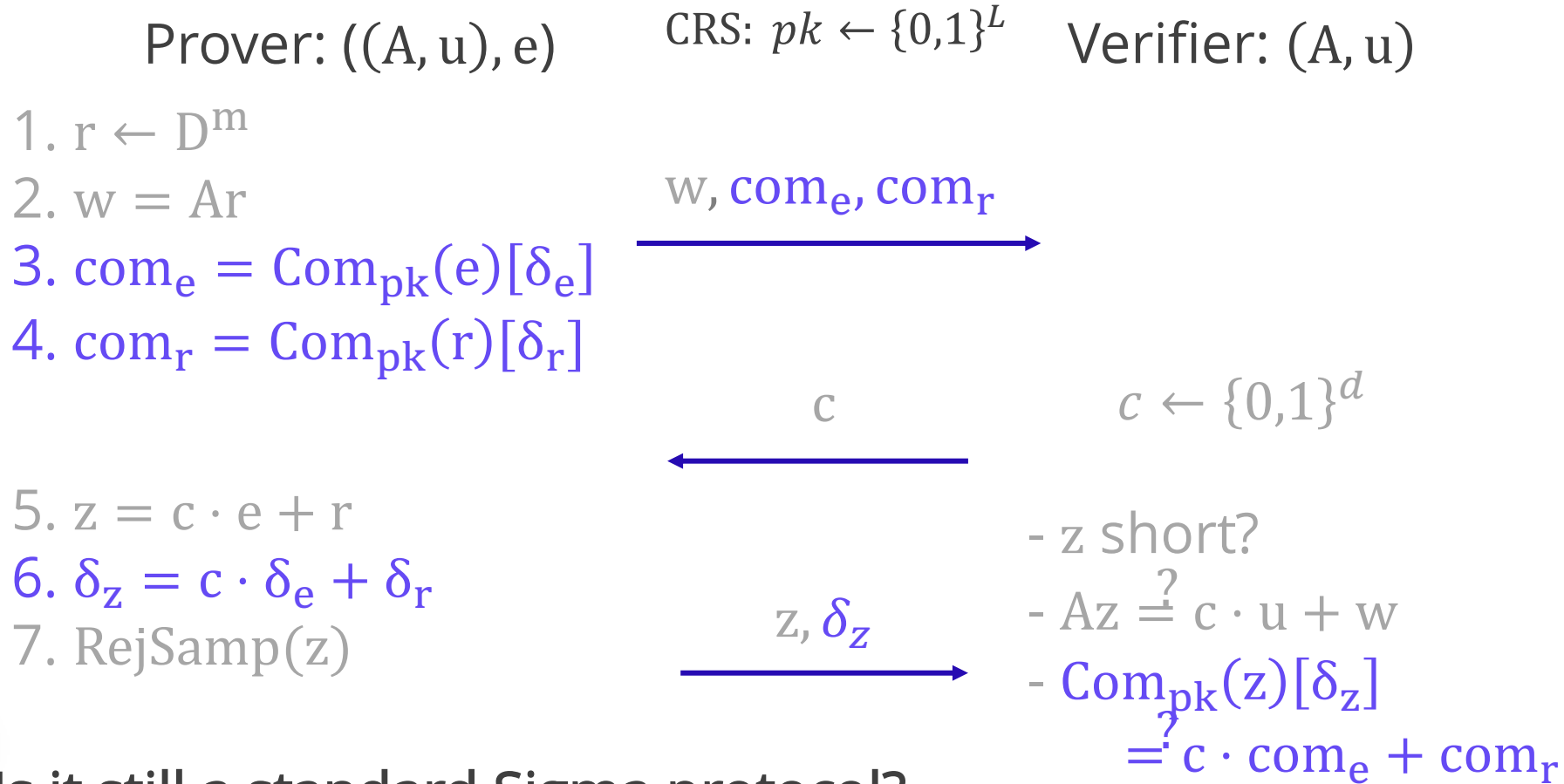
A simple method to construct
QROM secure NIZKs via ExtLinHC

- Works for many lattice-based PCIPs before early 2020-ish but what about the more recent ones, e.g., [BLNS20,BLNS20,LNS21]?
- Can we make ExtLinHC more efficient (possibly w/o trapdoor)??
- General method to show collapsingness of existing lattice-based Sigma protocols?? => No need using ExtLinHC 😊

Special Sound + HVZK




1st Step: Add Linear Homomorphic Com.



Is it still a standard Sigma protocol?

- ✓ Special soundness \Rightarrow Yes, just ignore LinHC
- ✓ HVZK \Rightarrow Yes, if LinCH is hiding.

*General $(2n + 1)$ -Round PCIP

Transform	Classical			Quantum		
	Type of Sigma prot.	Proof overhead	PoK	Type of Sigma prot.	Proof overhead	PoK
Fiat-Shamir '88	any	One hash	rewind	collapsing	One hash	rewind (lose at least $O(q^{2n})$)
Fischlin '05	 Limited to specific Sigma protocols.					
Unruh '15				5-round with 1st chall. set small and 2nd chall. set $\{0,1\}$.	$x C $ with parallel rep.	Straight-line (= tight proof)

[CHRSS18]

Some Details Worth Mentioning

- In our Fiat-Shamir transform, **we require a slightly stronger flavor of ExtLinHC** since the Sigma protocol is only *comp.* HVZK.
- Analysis extends to multi-round.
- Since commitment key $pk \leftarrow \{0,1\}^d$, we can use **RO rather than relying on a CRS**.
- It is a **dual-mode NIZK** (i.e., depending on pk , it will be stat. ZK or stat. sound).